

## Liverpool John Moores University

Title: FINANCIAL INSTITUTIONS AND MARKETS  
Status: Definitive  
Code: **5028BUSAE** (108135)  
Version Start Date: 01-08-2011

Owning School/Faculty: Liverpool Business School  
Teaching School/Faculty: Liverpool Business School

Team	Leader
Karl Harper	Y

**Academic Level:** FHEQ5  
**Credit Value:** 12.00  
**Total Delivered Hours:** 28.00  
**Total Learning Hours:** 120  
**Private Study:** 92

### Delivery Options

Course typically offered: Semester 2

Component	Contact Hours
Lecture	13.000
Tutorial	13.000

**Grading Basis:** 40 %

### Assessment Details

Category	Short Description	Description	Weighting (%)	Exam Duration
Exam	AS1	Examination: requiring students to answer ANY THREE questions out of six	75.0	2.00
Report	AS2	Coursework: Individual written report of 2000 words critically evaluating developments in the financial services environment throughout the semester	25.0	

### Aims

*To provide students with:*

*1. an understanding of international financial institutions and markets through which finance is raised.*

2. the ability to critically evaluate the role of financial institutions in an increasingly volatile global market.

3. knowledge and skills to underpin level 3 finance modules.

## Learning Outcomes

After completing the module the student should be able to:

- 1 Analyse and evaluate the role of the various financial institutions in the ever changing environment.
- 2 Evaluate the role and benefits of financial intermediation and disintermediation
- 3 Evaluate the role of international financial markets in providing finance, liquidity and the ability to manage financial risk.
- 4 Assess the efficiency of financial markets and the implications of market efficiency.
- 5 Evaluate the strategic and financial risk management issues currently facing the financial services sector
- 6 Critically evaluate the international regulatory regime and the need for regulating the financial system.
- 7 Demonstrate transferable skills - the management of learning, problem solving and effective written communication skills.

## Learning Outcomes of Assessments

The assessment item list is assessed via the learning outcomes listed:

EXAM	1	2	3	4	5	6	7
CW	1	2	3	4	5	6	7

## Outline Syllabus

### *Section A: An Overview*

1. *Financial Institutions & Financial Intermediation.*
2. *Financial Markets & Market Efficiency*
3. *The Financial services Environment*

### *Section B: Financial Institutions and Services/Products*

4. *Banking - Retail, Wholesale, International and Central Banks.*
5. *Bank Financial Risk Management*
6. *Savings & Investment Institutions - Insurance, Pensions, Investment Institutions.*
7. *Finance Providers - Factoring, Leasing, etc*
8. *Regulating the Financial institutions*

### *Section C: Financial Markets*

9. *Money markets, Capital Markets & Euro Markets*
10. *Foreign Exchange Markets & Instruments*
11. *Derivative Markets & Instruments*

## Learning Activities

Formal lectures and tutorials

## References

<b>Course Material</b>	Book
<b>Author</b>	Howells P. & Bain K.
<b>Publishing Year</b>	2007
<b>Title</b>	Financial Markets & Institutions
<b>Subtitle</b>	
<b>Edition</b>	(5th Edition)
<b>Publisher</b>	Financial Times Pentice Hall
<b>ISBN</b>	0-273-70919-0

<b>Course Material</b>	Book
<b>Author</b>	Buckle M, & Thompson J.
<b>Publishing Year</b>	2004
<b>Title</b>	The UK Financial System. Theory & Practice
<b>Subtitle</b>	
<b>Edition</b>	4th Edition
<b>Publisher</b>	Manchester University Press
<b>ISBN</b>	

<b>Course Material</b>	Book
<b>Author</b>	Van Horne, J.C.
<b>Publishing Year</b>	2001
<b>Title</b>	Financial Markets Rates & Flows
<b>Subtitle</b>	
<b>Edition</b>	6th
<b>Publisher</b>	Prentice Hall
<b>ISBN</b>	0-13-018044-0

<b>Course Material</b>	Book
<b>Author</b>	Relevant Journals
<b>Publishing Year</b>	0
<b>Title</b>	
<b>Subtitle</b>	
<b>Edition</b>	
<b>Publisher</b>	
<b>ISBN</b>	

<b>Course Material</b>	Book
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<b>Author</b>	The Economist
<b>Publishing Year</b>	0
<b>Title</b>	
<b>Subtitle</b>	
<b>Edition</b>	
<b>Publisher</b>	
<b>ISBN</b>	

<b>Course Material</b>	Book
<b>Author</b>	The Financial Times
<b>Publishing Year</b>	0
<b>Title</b>	
<b>Subtitle</b>	
<b>Edition</b>	
<b>Publisher</b>	
<b>ISBN</b>	

<b>Course Material</b>	Book
<b>Author</b>	Financial World
<b>Publishing Year</b>	0
<b>Title</b>	
<b>Subtitle</b>	
<b>Edition</b>	
<b>Publisher</b>	
<b>ISBN</b>	

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## Notes

The module is designed to provide students with an understanding of international financial institutions and markets through which finance is raised.

**EXAMINATION:-** The examination is the terminal summative assessment. It will be largely theory and knowledge based to ensure that students have acquired an overall understanding of the financial services, institutions, services and markets.

**COURSEWORK:-** This will provide an opportunity for students to apply their knowledge and learning and to demonstrate the development of transferable skills.

The module is fully supported by Blackboard which includes, lecture notes, tutorial questions & solutions, past examination papers & marking schemes and appropriate internet links and readings.