

Liverpool John Moores University

Title: Bank and Financial Services Regulation
Status: Definitive
Code: **6009LAWCL** (116219)
Version Start Date: 01-08-2020

Owning School/Faculty: Law
Teaching School/Faculty: Law

Team	Leader
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Academic Level: FHEQ6
Credit Value: 24
Total Delivered Hours: 40
Total Learning Hours: 240
Private Study: 200

Delivery Options

Course typically offered: Standard Year Long

Component	Contact Hours
Lecture	20
Seminar	20

Grading Basis: 40 %

Assessment Details

Category	Short Description	Description	Weighting (%)	Exam Duration
Essay	Ass 1	ESSAY 2500-3000 words	40	
Essay	Ass 2	ESSAY 3500 words	60	

Aims

Understand the nature and importance of bank and financial services regulation.

Develop the students knowledge and understanding of the legal aspects surrounding regulation of banks and financial services.

Develop a detailed analysis of the fundamental concepts and principles behind the aims of financial services regulation.

Allow students to develop the ability to critically analyse the application of legal provisions relating to the control the regulation of the financial services sector.

Evaluate the impact of globalization on regulation of financial services and banks.

Learning Outcomes

After completing the module the student should be able to:

- 1 Demonstrate an understanding of the philosophy and rationale of regulation in the financial services and banking sector.
- 2 Critically evaluate the nature, extent and impact of law and regulation of Britain's financial services sector.
- 3 Critically analyse the efficacy of laws and regulation designed to regulate the banks, financial services institutions and the markets they operate in.
- 4 Demonstrate an understanding of and ability to apply legal rules in their context.
- 5 Critically evaluate global attempts to regulate banks and financial services.

Learning Outcomes of Assessments

The assessment item list is assessed via the learning outcomes listed:

ESSAY 1 2500-3000 words	1	2	3	4
ESSAY 2 3500 words	1	2	5	

Outline Syllabus

Introduction to the concepts of financial services regulation.

The Regulatory Revolution 1986-2000.

Regulatory Reforms following the Banking Crisis 2007/8

Bank regulation in context.

International framework for financial regulation.

Learning Activities

Lectures and Seminars

Notes

A module designed to analyse bank financial services regulation.