

## Liverpool John Moores University

Title: Bank and Financial Services Regulation  
Status: Definitive  
Code: **6109LAWCL** (121470)  
Version Start Date: 01-08-2021  
  
Owning School/Faculty: Law  
Teaching School/Faculty: Law

Team	Leader
Alison Lui	Y
Richard Ridyard	

**Academic Level:** FHEQ6      **Credit Value:** 20      **Total Delivered Hours:** 44  
**Total Learning Hours:** 200      **Private Study:** 156

### Delivery Options

Course typically offered: Semester 1

Component	Contact Hours
Lecture	13
Online	18
Seminar	12
Tutorial	1

**Grading Basis:** 40 %

### Assessment Details

Category	Short Description	Description	Weighting (%)	Exam Duration
Essay	Essay	Essay 4500 words	100	

### Aims

*Understand the nature and importance of bank and financial services regulation.  
Develop the students knowledge and understanding of the legal aspects surrounding regulation of banks and financial services.  
Develop a detailed analysis of the fundamental concepts and principles behind the aims of bank and financial services regulation.*

*Allow students to develop the ability to critically analyse the application of legal provisions relating to the control and regulation of the bank and financial sector.*

## **Learning Outcomes**

After completing the module the student should be able to:

- 1 Evaluate the philosophy and rationale of regulation in the financial services and banking sector.
- 2 Critically analyse the nature, extent and impact of law and regulation of the UK's bank and financial services sector
- 3 Critically analyse the efficacy of laws and regulations designed to regulate banks, financial services and the markets within which they operate.
- 4 Demonstrate the ability to synthesise complex information and write critically on the subject of Bank and Financial Services Regulation

## **Learning Outcomes of Assessments**

The assessment item list is assessed via the learning outcomes listed:

Essay 4500 words	1	2	3	4
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## **Outline Syllabus**

*Intro to concepts of regulation in the context of banks and financial services.*

*Regulatory revolution 1986-2000.*

*Failure of regulation and the banking crisis 2007/8.*

*Reform of regulation following the banking crisis.*

*Bank regulation in its global context.*

## **Learning Activities**

Lectures

Seminar

Online Exercises

Tutorial(Drop in Session)

## **Notes**

A module designed to analyse contemporary issues in bank and financial services regulation