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Title: Financial Markets and Institutions
 Status: Definitive
 Code: **7002MSCFM** (120849)
 Version Start Date: 01-08-2017

Owning School/Faculty: Academic Portfolio
 Teaching School/Faculty: Academic Portfolio

| Team | Leader |
|----------------|--------|
| Seng Kiong Kok | Y |

Academic Level: FHEQ7 **Credit Value:** 20 **Total Delivered Hours:** 39
Total Learning Hours: 200 **Private Study:** 161

Delivery Options

Course typically offered: Semester 2

| Component | Contact Hours |
|-----------|---------------|
| Lecture | 24 |
| Seminar | 12 |

Grading Basis: 40 %

Assessment Details

| Category | Short Description | Description | Weighting (%) | Exam Duration |
|--------------|-------------------|---------------------------------|---------------|---------------|
| Presentation | AS1 | Individual Presentation | 40 | |
| Exam | AS2 | Unseen, closed book examination | 60 | 3 |

Aims

The aim of this module is to examine and understand why financial markets and institutions exist, and what services those institutions and markets offer. Through the critical analysis and evaluation of both institutions and markets students will be expected to provide suitable decisions and recommendations to limit failures within the industry.

Learning Outcomes

After completing the module the student should be able to:

- 1 Critically evaluate contemporary issues in financial institutions and financial markets.
- 2 Research, identify and critically assess alternative views (both theoretical and practical) on how banks and other financial service organisations are managed and regulated.
- 3 Critically evaluate the causes of some major financial crises as well as the rationale and limitations of financial regulation.
- 4 Determine and apply appropriate methodologies and approaches to the valuation of bonds and shares.

Learning Outcomes of Assessments

The assessment item list is assessed via the learning outcomes listed:

| | | | | |
|---------------------------------|---|---|---|---|
| Presentation | 1 | 2 | 3 | |
| Unseen; Closed Book Examination | 1 | 2 | 3 | 4 |

Outline Syllabus

The role of Financial Markets & Institutions (Savings & Borrowing, Financial Intermediation).
Commercial Banking (Operations - Retails and Commercial Banking).
Commercial Banking (Management & Performance - The Financial Statements of Companies, Profitability, Liquidity, Inventory, Gearing and Investor Ratios).
The Capital Market (UK and Overseas Bond Markets - securitization, cash deposits, money markets, zero-coupon bonds).
Fixed income securities.
The Capital Market (Stock & Bond Issuance, IPOs, Return from Shares, Stock Exchange Indexes).
Financial Market Failures and Financial Crises.
The Regulation of Financial markets and Institutions.

Learning Activities

Lectures and seminars will be used to impart knowledge and develop understanding of key areas. Group discussions and activities will be used within seminar sessions.

Notes

This module provides students (i.e. prospective finance managers/managers and

regulators of financial institutions) with a deep understanding of financial markets and institutions. It aims to equip students with the advanced knowledge and tools required to be able to appreciate the complex issues faced by managers and regulators of financial institutions and markets. The module is largely theory-driven and covers fundamental issues such as: (1) Why financial markets and institutions (FM&Is) exist and are regulated; (2) How FM&Is are managed and their products (bonds and shares) valued; and (3) Why these FM&Is fail and the impact of their failure on the wider economy.