

Professional Conduct and Regulation Incorporating Solicitors' Accounts

Module Information

2022.01, Approved

Summary Information

Module Code	7405LAWLP
Formal Module Title	Professional Conduct and Regulation Incorporating Solicitors' Accounts
Owning School	Law
Career	Postgraduate Taught
Credits	10
Academic level	FHEQ Level 7
Grading Schema	50

Teaching Responsibility

LJMU Schools involved in Delivery	
Law	

Learning Methods

Learning Method Type	Hours
Lecture	9
Seminar	14

Module Offering(s)

Display Name	Location	Start Month	Duration Number Duration Unit
SEP-MTP	МТР	September	28 Weeks

Aims and Outcomes

Aims	To prepare students to be able to identify and act in accordance with the core duties of professional conduct, professional ethics and the Solicitors' Accounts Rules To understand the relevant law and issues of compliance with respect to money laundering. To have knowledge of the financial services regulation and how it applies to solicitors firms.	
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After completing the module the student should be able to:

Learning Outcomes

Code	Number	Description
MLO1	1	Demonstrate an understanding of the way the SRA Principles apply to the handling of client money and an understanding of the Solicitors' Accounts Rules
MLO2	2	Understand, recognise and apply relevant financial services regulation and identify and understand the relevant money laundering legislation and be able to deal with issues in a practical context
MLO3	3	Identify, understand and apply relevant principles and outcomes with reference to the SRA Code 2011
MLO4	4	comply with the detailed requirements relating to litigation set out in the LPC Outcomes 2011 (published by the Solicitors Regulation Authority) and/or such other document as may from time to time amend or replace those provisions

Module Content

Outline Syllabus	Solicitors' Accounts Rules Record and interpret receipt into and payment from office and client accounts. Transfers between office and client accountTransfer between 2 client accountsPreparation of completion statementsProfessional conduct and client careMoney laundering and proceeds of crimeFinancial services
Module Overview	
Additional Information	This is one of the core practice areas on the LPC which fails within Stage 1, which students must pass to attain the LPC.

Assessments

Assignment Category	Assessment Name	Weight	Exam/Test Length (hours)	Module Learning Outcome Mapping
Centralised Exam	Exam PCR	50	2	MLO1, MLO4
Centralised Exam	Exam Accounts	50	2	MLO2, MLO3, MLO4

Module Contacts

Module Leader

Contact Name	Applies to all offerings	Offerings
Paul Fletcher	Yes	N/A

Partner Module Team

Contact Name	Applies to all offerings	Offerings