

## Liverpool John Moores University

Title: GOVERNANCE AND FINANCE  
Status: Definitive  
Code: **7508BLWCG** (101411)  
Version Start Date: 01-08-2011

Owning School/Faculty: Arts, Professional and Social Studies  
Teaching School/Faculty: Arts, Professional and Social Studies

Team	Leader
Nick Hawkins	Y

**Academic Level:** FHEQ7  
**Credit Value:** 20.00  
**Total Delivered Hours:** 200.00  
**Total Learning Hours:** 200  
**Private Study:** 0

### Delivery Options

Course typically offered: Standard Year Long

Component	Contact Hours
Online	175.000
Workshop	25.000

**Grading Basis:** 40 %

### Assessment Details

Category	Short Description	Description	Weighting (%)	Exam Duration
Report	AS1	Coursework: 25% A group presentation of a report to the tutor and fellow participants critically reviewing the governance of a financial institution.	25.0	
Report	AS2	Coursework 65% individual report applying critical incident analysis involving governance and finance in the context of an organisation of the participant's choice. (3500 words)	65.0	
Report	AS3	Coursework Reflective Practices: 10%. An individual reflection of the students learning experience set in	10.0	

Category	Short Description	Description	Weighting (%)	Exam Duration
		context to the modules. (1,000 words)		

## Aims

1. To provide participants with the conceptual and practical skills necessary to understand and analyse issues in Governance and Finance.
2. To provide participants with the understanding of how to analyse and evaluate Governance in Financial Institutions, making appropriate use of internal and external information.
3. To consider the impact of theoretical developments in Finance and Economics on Governance.

## Learning Outcomes

After completing the module the student should be able to:

- 1 Review and critically discuss the major issues at the interface of Governance and Finance.
- 2 Critically explore the key assumptions in agency theory, economics and finance thought as related to governance.
- 3 Conduct a presentation and produce a report critically evaluating a financial institution's governance strategy.
- 4 Critically reflect on the learning process.

## Learning Outcomes of Assessments

The assessment item list is assessed via the learning outcomes listed:

CW	1	2	3
CW	1	2	3
CW	1	4	

## Outline Syllabus

1. Seminal work in Governance including separation of ownership and control, transactions cost economics and the principal/agent theory.
2. Consider issues facing financial institutions.
3. Regulatory issues in financial institutions
4. The Basel Committee and its edicts
5. Conceptual modules and pragmatism in a modern context
6. Contemporary issues in financial economics linked to governance
7. Review of committee reports
8. Codes of conduct and due diligence theory

## Learning Activities

Lectures, group work, case studies, presentations and exercises coupled with action learning sets.

## References

<b>Course Material</b>	Book
<b>Author</b>	Relevant Journals/Books
<b>Publishing Year</b>	0
<b>Title</b>	
<b>Subtitle</b>	
<b>Edition</b>	
<b>Publisher</b>	
<b>ISBN</b>	

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## Notes

Module